

Sustainability and further development

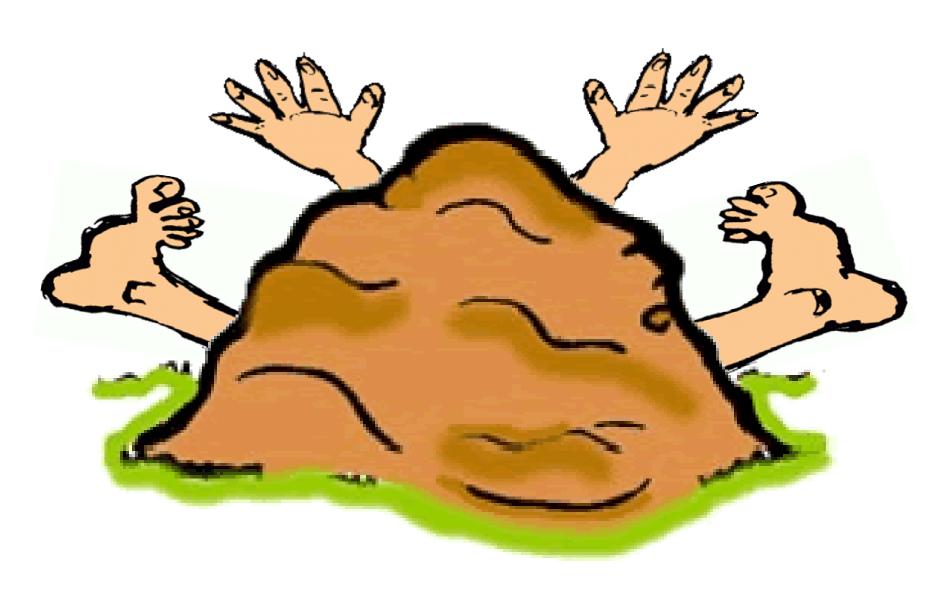
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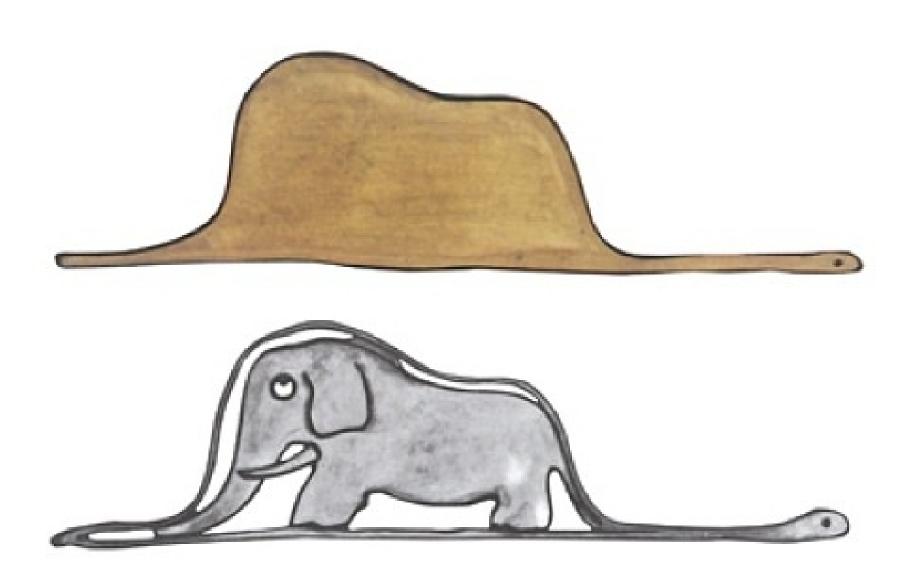




#### How are you feeling today?



#### Or do you feel like this?



#### **Outline**

- Unpacking the construct
- What is the problem?
- Sustainability in the KMC discourse
- Conditions for achieving sustainable KMC programmes
- KMC and the Sustainable Development Goals (SDGs)
- What next? (The future)

#### Unpacking the construct

#### What does the dictionary say?

"1. the ability to be sustained, supported, upheld, or confirmed."

(<a href="http://www.dictionary.com/">http://www.dictionary.com/</a>)

#### What does the literature say?

"... what happened after initial implementation"

(Wiltsey Stirman et al, 2012)

#### What is the problem?

- Great strides have been made in KMC implementation at the level of awareness of its benefits
- Current global acceleration of KMC: much focus on commitment
  - at level of individual health care institutions
  - at country level
- Recent focus expansion KMC implementation and scale-up
  - It is possible to undertake donor-funded development projects,
     but what happens after that?
     This is what

we are

grappling

with

- The two-edged sword:
  - Nothing happens without projects and/or funding
  - Nothing happens after a project has ended
- KMC kits and special wraps KMC stops when they are finished

## When did sustainability appear in the KMC discourse?

- Direct and implicit
- The first decade of scale-up (2000-2010): (Brazil 2<sup>nd</sup> and 3<sup>rd</sup> periods)
  - Individual health facilities (pilots)
    - $\Psi$
  - -Scale up
- The second decade of scale-up (2011- )

#### First decade of scale-up (2000-2010)

- Brazil: sustainability implicit in thinking and action
- Research on KMC implementation (1999-2002): development of a progress-monitoring model with sustainable practice at individual facility level as the final construct
- 2002-2007: progress-monitoring model used in two randomised trials on KMC implementation testing three different outreach strategies
  - → timeframe (6-8 months) too short for any facilities to reach the level of sustainable practice

#### Original progress-monitoring model

INSTITUTIONALIS-

IMPLEMENT-ATION

PRE-IMPLEMENT-ATION 6. Sustain new practices

5. Integrate into routine practice

4. Implement (Commence practice)

3. Prepare to implement (Take ownership)

2. Commit to implement

- What is the problem?
- What is a potential solution?

1. Create awareness ← Get acquainted

(Bergh et al, 2005; Belizán et al, 2011)

stage ch  $\boldsymbol{\sigma}$  $\Phi$ for markers progress Specific

#### **Compatibility with other frameworks**

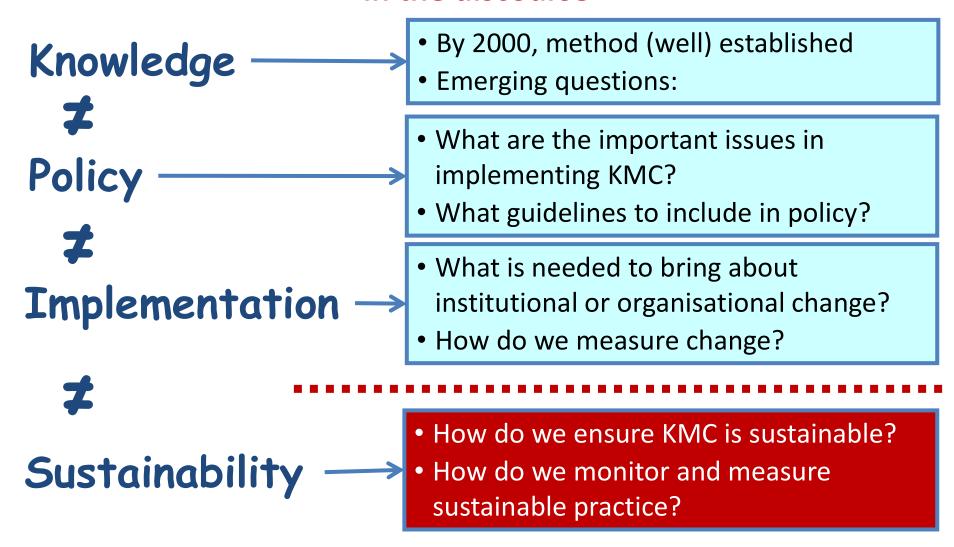
<b>FCN:</b>	Sustain practice  Integrate into routine	Full implementation	Refreeze	Maintenance
IMPLEMT.	Implement	Initial implementation	Change	Action
	Prepare to implement	Installation		Preparation
PRE-IMPL.	Commit to implement	Exploration	Unfreeze	Contemplation
	Create awareness			Pre- contemplation
	(Bergh et al, 2005-2014)	(Fixsen et al, 2015)	(Lewin, 1947)	(Prochaska et al, 1980s & 1990s)

## Sustainable practice progress markers for individual health facilities

- Audit figures with evidence of on-going KMC practice for at least 1 year can be provided
- Evidence of staff development policy
  - Special plan to ensure that all staff get adequate training in KMC
  - Evidence of a written plan
- Evidence of staff training (CME/in-service)
   (additional to facilitation in the project)
  - One or more staff members got special training in past year

#### Do not assume KMC is sustainable

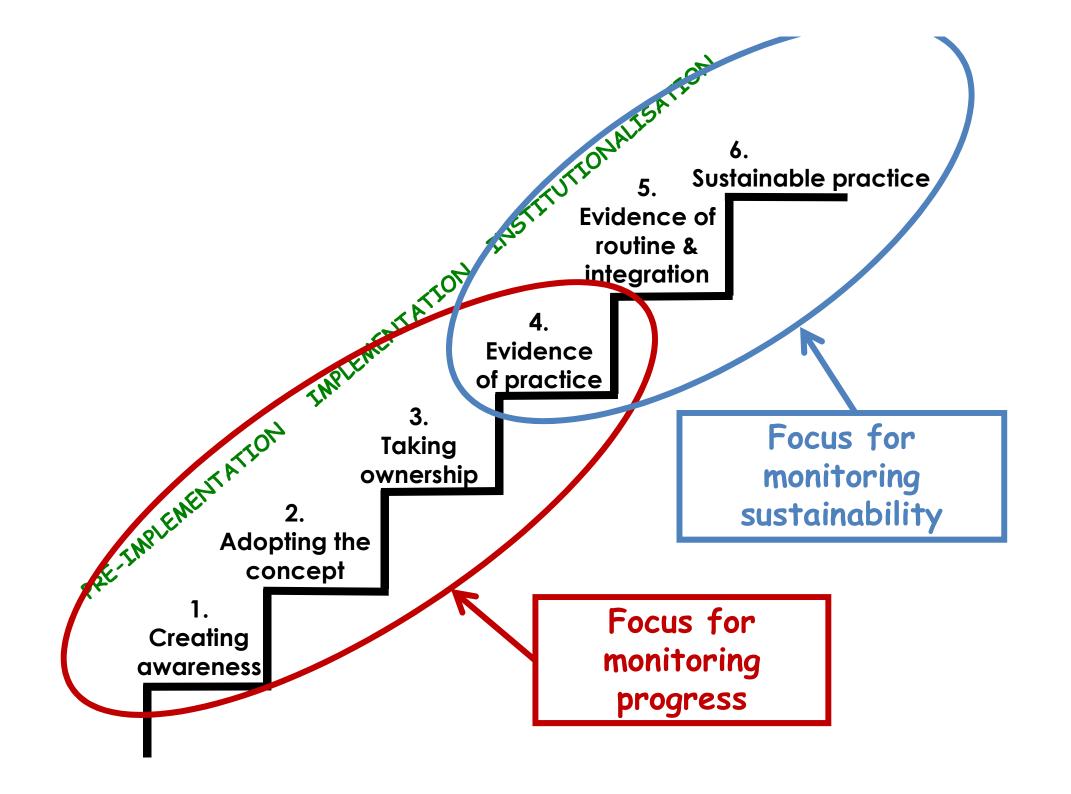
Disjointed efforts in KMC implementation – a mantra appears in the discourse



# Moving from progress monitoring in individual facilities to stages of change in scale up

- Renaming the progress-monitoring model: a stages-of-change framework – sustainability as a construct in the scale-up of KMC
- Recommendations in an evaluation report for Malawi (2007):

The purpose of this evaluation was to undertake a retrospective assessment of the status and quality of KMC implementation in Malawian hospitals, with a view to making recommendations based on lessons learned for **sustainable scaling up** to more sites ...



# Implementation modalities could influence sustainability

#### 1. Timing of implementation:

'Big bang' or 'staggered' implementation?

#### 2. Approach to implementation:

'Pedestal' approach or totally integrated in essential newborn care?

#### 3. Pathways to scale-up:

Combinations of: champion-led; project-initiated; health systems designed

# Second decade of KMC scale-up (2011 – )

- Brazil: 4<sup>th</sup> period: Consolidation
- Global stimulus for accelerated scale-up
  - Born too soon; Istanbul declaration; ENAP; etc
- Asia KMC 5-country "deep-dive" (2013)
  - Countries mapped out on their progress with scaling up KMC beyond implementation in individual institutions
  - Linkage with
    - coverage and quality
    - health systems building blocks
- What is "sustainable KMC scale-up"?

**NSTITUTIONAL-**

IMPLEMEN-

PRE-IMPLEMEN-TATION Stages of change

6. Sustain new practice

R

A

G

E

5. Integrate into routine practice

4. Implement

3. Prepare to implement

2. Commit to implement

**Create awareness** 

Get acquainted

\*\* Individual level

OUALITY OF CARE

1. Indicators in HMIS

2. Standards for maternity & newborn services accreditation\*

3. Standards for quality maternal & newborn care\*\*

C Health-system 0  $\overline{\mathbf{V}}$ readiness E

> Stakeholder readiness

\* Facility level

HMIS = Health Management Information System

(Bergh et al, 2014)

# JALISATION

#### **Expanding the construct of sustainability** for the future

- Learning networks
- Mentorships
- QI tools

- Stage 5: Integrate KMC into routine practice
- Quality improvement initiatives
- All levels of health system
- MMR activities & audit

• Stage 6: Sustain KMC practice

- Health management information systems
- Newborn care metrics (e.g. ENAP)

# Conditions for getting to sustainable KMC programmes

- Programmes relate to:
  - KMC practice (method) individual mother-infant dyads and aggregates
  - KMC services health system



 Choices between a rock and a hard place

#### Choices ...

- Sustainable KMC programmes are dependent on overcoming barriers to implementation and scale-up
- Major barriers
  - 1. Professional will
  - 2. Political will

From passionate professionals in the institution to committed functionaries in the health system

- KMC must be seen as part of the total newborn care package
   BUT ... how to find the balance between
  - a silo approach
  - KMC disappearing in a sea of other demands
- Preserving health workers' small-newborn care skills set:
  - Little demand for or access to facility-based deliveries
  - Referral policies → low case load of preterm babies at lower level facilities
  - Staff rotations

# Self-monitoring mechanisms for individual health care institutions

- How can we adapt existing recordkeeping documents to include KMC data? Be mindful of
  - a proliferation of new forms
  - electronic registers incompatible with existing software used in the health system
- What is worthwhile to report on, to whom and where?
  - Management (process of implementation; KMC uptake and coverage)
  - Use of existing meeting structures (e.g. perinatal morbidity and mortality or other audit meetings)
  - Report to higher levels of the health systems often useful when KMC services are systematically expanded
- Reporting should be institutionalised

# Health systems strengthening – examples from South Africa

 Strong leadership from Minister of Health – KMC included as a target in South Africa's SDG commitments to the UN:
 Implement strategies to ensure safe delivery and a reduction in neonatal mortality, including access to skilled health workers and ensure implementation of kangaroo mother care in all public hospitals



Accountability – no room for hiding!

- Provincial initiatives: KMC as part of neonatal accreditation of health facilities
- Re-engineering of primary health care 2012: new cadre of district clinical specialist teams – see poster 3

#### KMC and the SDGs



- KMC sustainability should be seen in the broader context of development sustainability
- Going beyond the clinical and public health discourses in KMC
- More focus needed on human rights (respectful care) and equity discourses
- Sustainability of KMC practice for individual mother-infant dyads and KMC services in the health system means engaging with specific targets in all 17 SDGs

#### What next? Focus on ...

- Clinical practice:
  - quality of care
  - accountability
  - supportive supervision
- Health systems strengthening:
  - Build on the current international initiatives KMC
     Acceleration, Every Newborn Action Plan, etc
  - Facility-facility and facility-community linkages (Rwanda)
  - Harnessing health insurance schemes
- Sustaining and strengthening the neurodevelopmental gains from KMC in the broader context of early child development

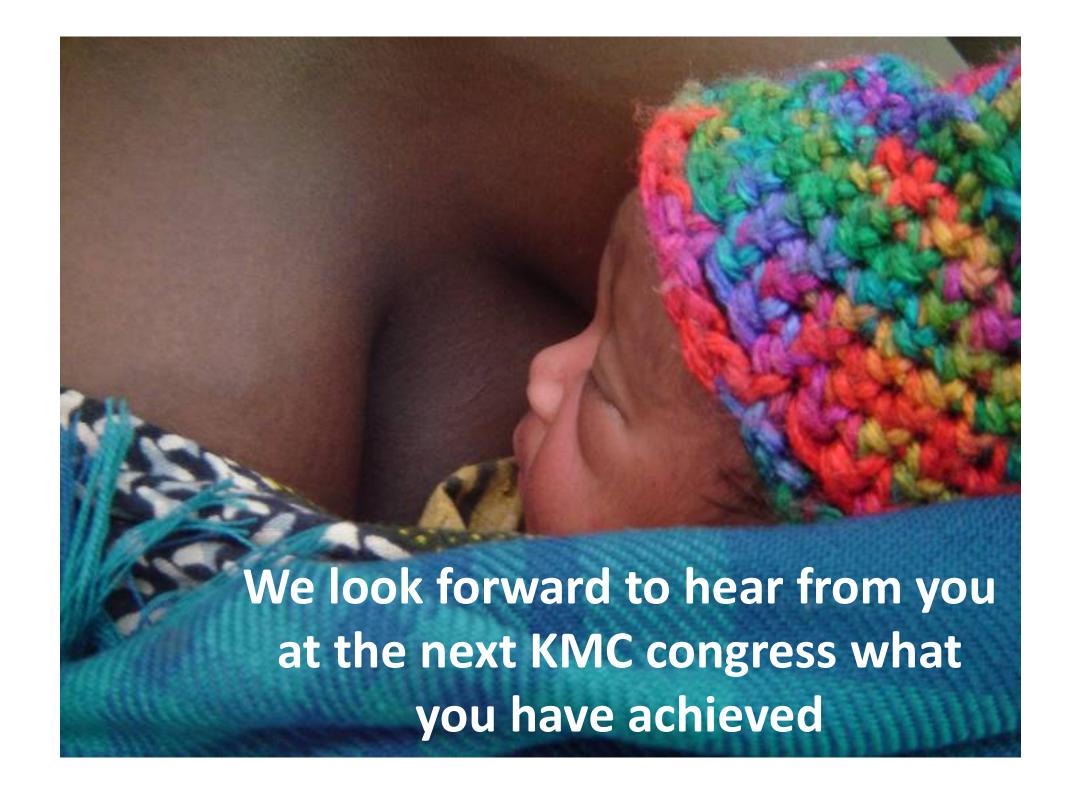
#### Focus on research

"Clearer definitions and research that is guided by the conceptual literature on sustainability are critical to the development of the research in the area. Further efforts to characterize the phenomenon and the factors that influence it will enhance the quality of future research. Careful consideration must also be given to interactions among influences at multiple levels, as well as issues such as fidelity, modification, and changes in implementation over time. While prospective and experimental designs are needed, there is also an important role for qualitative research in efforts to understand the phenomenon, refine hypotheses, and develop strategies to promote sustainment."

(Wiltsey Stirman et al, 2012)

#### A final word from you ...

- Think about three things that you can do to promote sustainability of KMC in the place where you are
- Talk to your neighbour about what you are going to do about these three things



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